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## THE SIGNLESS LAPLACIAN SPECTRAL RADIUS OF BOUNDED DEGREE GRAPHS ON SURFACES

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Let  $G$  be an  $n$ -vertex ( $n \geq 3$ ) simple graph embeddable on a surface of Euler genus  $\gamma$  (the number of crosscaps plus twice the number of handles). In this paper, we present upper bounds for the signless Laplacian spectral radius of planar graphs, outerplanar graphs and Halin graphs, respectively, in terms of order and maximum degree. We also demonstrate that our bounds are sometimes better than known ones. For outerplanar graphs without internal triangles, we determine the extremal graphs with the maximum and minimum signless Laplacian spectral radii.

### 1. INTRODUCTION

Spectral graph theory is a fast growing branch of algebraic graph theory. Within spectral graph theory, studying the properties of a graph using its signless Laplacian became very dynamic area of research in past decade. Recently, CVETKOVIĆ and SIMIĆ defined in a series of three papers [6, 7, 8], entitled "Towards a spectral theory of graphs based on the signless Laplacian", the fundamentals of the spectral theory of graphs based on the signless Laplacian. The most studied problems within signless Laplacian theory are those of lower and upper bounding some particular eigenvalues such as the largest eigenvalues of the signless Laplacian, as well as the characterization of the extremal graphs achieving the bound. It is along these lines that our present work is done.

We follow the standard graph notations from [1]. Let  $G$  be a simple graph with the vertex set  $V(G)$  and the edge set  $E(G)$ . Denote  $n = |V(G)|$ . The *adjacency*

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matrix of  $G$  is  $A(G) = (a_{ij})$  where  $a_{ij} = 1$  if two vertices  $i$  and  $j$  are adjacent in  $G$  and 0 otherwise. The largest eigenvalue of  $A(G)$  is called the *spectral radius* of  $G$  and denoted by  $\rho(G)$ . The matrix  $L(G) = D(G) - A(G)$  is called the *Laplacian matrix* of  $G$ , where  $D(G) = \text{diag}(d(u), u \in V)$  is the diagonal matrix of the vertex degrees of  $G$ . The largest eigenvalue of  $L(G)$ , denoted by  $\lambda(G)$ , is called the *Laplacian spectral radius* of  $G$ . For background on the Laplacian eigenvalues of a graph, the reader is referred to [19] and [20] and the references therein. We call the matrix  $Q(G) = D(G) + A(G)$  the *signless Laplacian matrix* or the *Q-matrix* of  $G$ , and its largest eigenvalue is denoted by  $\mu(G)$  or  $\mu$  for simplicity. It is well known that  $Q(G)$  is irreducible, entrywise nonnegative and positive definite, so from the Perron-Frobenius Theorem, if  $G$  is connected, there is a unique unit positive eigenvector corresponding to  $\mu(G)$  whose entries sum to 1. We call this eigenvector the *Perron vector*. For more background on the signless Laplacian and spectral graph theory, see [4, 5, 6, 7, 8] and the references therein. We denote the maximum degree of a graph  $G$  by  $\Delta = \max\{d(u), u \in V(G)\}$ .

Let  $\Sigma$  be a compact surface and  $\gamma$  be the Euler genus (= the number of crosscaps plus twice the number of handles) of  $\Sigma$ . An embedding is  $k$ -representative if no noncontractible closed curve in the surface intersects the embedded graph at fewer than  $k$  points. An embedding is *cellular* if every face is homeomorphic to an open disk. As we usually consider a graph embedding in a surface with small genus, we always assume that  $\gamma$  is not too large.

In particular, if  $\gamma = 0$ ,  $\Sigma$  is a plane. We call a graph  $G$  a *planar graph*, if  $G$  can be embedded in a plane such that no two edges intersect. An *outerplanar graph* is a planar graph that has a planar drawing with all vertices on the same face. From this definition, we know that a graph is outerplanar if it can be embedded in the plane such that all vertices lie on the outer boundary face. An edge of an outerplanar graph  $G$  is called a *chord*, if it joins two vertices of the outer face boundary of  $G$  but itself is not an edge of the outer boundary face. An outerplanar graph is *maximal outerplanar* if the graph obtained by adding an edge is not outerplanar. Namely, a maximal outerplanar graph on  $n$  ( $n \geq 3$ ) vertices has a plane representation as an  $n$ -gon triangulated by  $n - 3$  chords. Thus, there are  $2n - 3$  edges in a maximal outerplanar graph on  $n$  vertices, and there are at least two vertices of degree two.

Let  $T$  be a tree with  $n \geq 4$  vertices and without vertices of degree 2. Suppose  $T$  is embedded in the plane with its end-vertices  $v_1, v_2, \dots, v_s$  in clockwise order, if we add new edges  $(v_i, v_{i+1})$  (where  $v_{s+1} = v_1$ ) in  $T$ , then  $T$  together with the cycle  $(v_1, v_2, \dots, v_s)$  forms a 3-connected planar graph  $G$  which is called *Halin graph*. Vertex  $v_i$  ( $1 \leq i \leq s$ ) is called an *outer vertex* and any other vertex is called *inner vertex*. Denote  $IV(G) = \{v \mid v \text{ is an inner vertex of } V(G)\}$  and  $t = |IV(G)|$ .

SCHWENK and WILSON [23] initiated the study of the eigenvalues of planar graphs. After that, much attention is paid to this question. CAO and VINCE [3], independently BOOTS and ROYLE [2] by using computer studies, conjectured that the planar graph of a given order with largest spectral radius is  $K_2 \vee P_{n-2}$ , the join of  $K_2$  and  $P_{n-2}$ . It is noted in [2] that the conjecture is not true for  $n = 7$  and 8, but suggested it was true for all  $n \geq 9$ . Up to now, this conjecture is still

open. CVETKOVIĆ [9] conjectured that  $K_1 \vee P_{n-1}$  is the unique graph that has the maximal spectral radius among all outerplanar graphs. As far as we know, this conjecture is also unsolved. HONG [14, 15] obtained some significant results for planar graphs. In those papers, Hong also gave the bounds on the spectral radius of graphs on an arbitrary surface. SHU and HONG [24] studied the spectral radius of outerplanar graphs and Halin graphs. In [22], the spectral radius of a special class of outerplanar graphs is considered. ELLINGHAM and ZHA [11] presented several new upper bounds on the spectral radius of graphs embeddable on a given compact surface. DVORÁK and MOHAR [10], using graph decompositions, derived several upper bounds for the spectral radius of planar graphs in terms of maximum degree.

The following results were obtained recently on the signless Laplacian spectral radius of graphs embedded on surfaces.

**Theorem 1.1.** *Let  $G$  be a connected graph of order  $n \geq 3$ , with maximum degree  $\Delta$ . Suppose  $G$  can be embedded on a surface of Euler genus  $\gamma$ . Then*

(i) (see [17])

$$(1) \quad \mu(G) \leq \frac{1}{2}(\Delta + 4 + \sqrt{(\Delta + 4)^2 + 8(2n + 8\gamma - 10)}).$$

(ii) (see [12])

$$(2) \quad \mu(G) \leq \frac{1}{2}(n + 6 + \sqrt{n^2 + 4n - 20 + 64\gamma}).$$

**Theorem 1.2.** *Let  $G$  be a connected outerplanar graph of order  $n \geq 2$ , with maximum degree  $\Delta$ . Then*

(i) (see [17])

$$(3) \quad \mu(G) \leq \frac{1}{2}(\Delta + 3 + \sqrt{(\Delta + 3)^2 + 8(n - 4)}).$$

(ii) (see [12])

$$(4) \quad \mu(G) \leq n + 2.$$

**Theorem 1.3.** *Let  $G$  be a Halin graph of order  $n \geq 7$ , with maximum degree  $\Delta$ . If  $G$  has  $t (\geq 1)$  inner vertices, then*

(i) (see [17])

$$(5) \quad \mu(G) \leq \frac{1}{2}(\Delta + 2 + \sqrt{(\Delta + 2)^2 + 8(n - 2t + 1)}).$$

(ii) (see [12])

$$(6) \quad \mu(G) \leq \frac{1}{2}(n - 2t + 6 + \sqrt{(n - 2t + 2)^2 + 24}).$$

In this paper, we present several new upper bounds for the signless Laplacian spectral radius of planar graphs, outerplanar graphs and Halin graphs, in terms of

order and maximum degree. We also demonstrate that our bounds are sometimes better when compared with known ones. At last, for a special class of outerplanar graphs, we determine the extremal graphs with the maximal and minimal signless Laplacian spectral radii.

## 2. PRELIMINARIES

We present here a few results that will be needed in later proofs.

**Lemma 2.4** ([13]). *Let  $M = (m_{ij})$  be an  $n \times n$  irreducible nonnegative matrix with spectral radius  $\rho(M)$ , and let  $s_i(M)$  be the  $i$ th row sum of  $M$ , i.e.,  $s_i(M) = \sum_{j=1}^n m_{ij}$ .*

*Then*

$$\min\{s_i(M) : 1 \leq i \leq n\} \leq \rho(M) \leq \max\{s_i(M) : 1 \leq i \leq n\}.$$

*Moreover, the equalities hold if and only if the row sums of  $M$  are all equal.*

**Lemma 2.5** ([11]). *Let  $G$  be a connected graph of order  $n$ ,  $Q = Q(G)$  be the signless Laplacian matrix and  $P$  be any polynomial. Then*

$$\min_{v \in V(G)} s_v(P(Q)) \leq \mu(P(Q)) \leq \max_{v \in V(G)} s_v(P(Q)).$$

Let  $G$  be a connected graph and  $v \in V(G)$ . Denote by  $N_i(v, G)$  the set of vertices at distance  $i$  from  $v$  and let  $n_i(v, G) = |N_i(v, G)|$ .

**Lemma 2.6** ([11]). *Let  $G$  be a connected graph on at least two vertices, with adjacency matrix  $A$  and with a cellular embedding  $D$  in a surface of Euler genus  $\gamma$ . Let  $v \in V(G)$ . If  $n_1(v, G) \geq 3$ , then we have*

$$s_v(A^2) \leq 6n_1(v, G) + 2n_2(v, G) + 8\gamma - 8.$$

The following relation between the spectral radii of the signless Laplacian and the adjacency matrices will be useful in Section 3.

**Lemma 2.7.** *Let  $G$  be a connected graph with maximum degree  $\Delta$ . Then*

$$\mu(G) \leq \Delta + \rho(G).$$

*The equality holds if and only if  $G$  is a regular graph.*

**Proof.** Let  $X$  be the Perron vector corresponding to  $\mu(G)$ . Then we have

$$\mu(G) = X^t D(G) X + X^t A(G) X \leq \rho(D(G)) + \rho(A(G)) = \Delta + \rho(G).$$

If the equality holds, then  $X^t D(G) X = \Delta$  and  $X^t A(G) X = \rho(G)$ . Note that  $X^t D(G) X = \Delta$  implies that  $G$  is regular in light of Lemma 2.4, and thus  $X$  is also the Perron vector of  $A(G)$ . The converse is easy to verify since  $\mu(G) = 2\Delta$ ,  $\rho(G) = \Delta$  for a regular graph  $G$ .

### 3. UPPER BOUNDS ON THE SIGNLESS LAPLACIAN SPECTRAL RADIUS

We first present a new bound involving the maximum vertex degree for the signless Laplacian spectral radius of a graph embeddable on a surface of given genus.

**Theorem 3.8.** *Let  $G$  be a connected graph of order  $n \geq 5$  with maximum degree  $\Delta$ . Suppose  $G$  can be embedded in a surface of Euler genus  $\gamma$ . If  $\Delta \geq 2 + \sqrt{2n + 8\gamma - 6}$ , then*

$$(7) \quad \mu(G) \leq \frac{1}{2}(\Delta + 5 + \sqrt{(\Delta + 1)^2 + 8(2n + 8\gamma - 7)}).$$

**Proof.** Note that  $\Delta \geq 2 + \sqrt{2n + 8\gamma - 6} \geq 4$  for  $n \geq 5$ . For a vertex  $v \in V(G)$ , we have  $s_v(Q) = 2d(v)$ ,  $s_v(D^2) = s_v(DA) = d(v)^2$  and  $s_v(AD) = s_v(A^2) = d(v)m(v)$ . Further, we abbreviate  $n_i(v, G)$  to  $n_i$  (note that  $n_1 = d(v)$ ).

We first consider a more general case. For a suitable real number  $c$ ,

$$\begin{aligned} & s_v(Q^2) - (n_1 + c)s_v(Q) \\ &= s_v(D^2 + DA + AD + A^2) - (n_1 + c)s_v(Q) \\ &= 2d(v)^2 + 2d(v)m(v) - 2(n_1 + c)d(v) \\ &\leq 2n_1^2 + 2(6n_1 + 2n_2 + 8\gamma - 8) - 2(n_1 + c)n_1 \quad (\text{from Lemma 2.6}) \\ &\leq 2n_1^2 + 2(6n_1 + 2(n - 1 - n_1) + 8\gamma - 8) - 2(n_1 + c)n_1 \quad (\text{as } n \geq 1 + n_1 + n_2) \\ &= 2(n_1^2 + (4 - n_1 - c)n_1 + 2n - 10 + 8\gamma) = 2((4 - c)n_1 + 2n + 8\gamma - 10). \end{aligned}$$

Hence, we have

$$s_v(Q^2) - (n_1 + c)s_v(Q) - 2((4 - c)n_1 + 2n + 8\gamma - 10) \leq 0.$$

Note that Lemma 2.5 cannot be applied directly to the above inequality, as  $n_1$  is not a constant term, but depends on  $v$ . However,  $n_1 \leq \Delta$  and  $s_v(Q) = 2d(v) \geq 2$  for each  $v$ , as the graph is connected. Therefore, if  $c \leq 5$  then  $(\Delta - n_1)[s_v(Q) + 2(4 - c)] \geq 0$  and one immediately gets

$$\begin{aligned} & s_v(Q^2) - (\Delta + c)s_v(Q) - 2((4 - c)\Delta + 2n + 8\gamma - 10) \\ &\leq s_v(Q^2) - (n_1 + c)s_v(Q) - 2((4 - c)n_1 + 2n + 8\gamma - 10) \leq 0. \end{aligned}$$

Now, Lemma 2.5 implies that

$$\mu^2 - (\Delta + c)\mu - 2((4 - c)\Delta + 2n + 8\gamma - 10) \leq 0,$$

wherefrom

$$\mu(G) \leq \frac{1}{2}((\Delta + c) + \sqrt{(\Delta + c)^2 + 8((4 - c)\Delta + 2n + 8\gamma - 10)}) =: f(c).$$

A direct computation shows that

$$f'(c) = \frac{1}{2} \left( 1 + \frac{2c - 6\Delta}{2\sqrt{(\Delta + c)^2 + 8((4 - c)\Delta + 2n + 8\gamma - 10)}} \right).$$

Since  $\Delta \geq 2 + \sqrt{2n + 8\gamma - 6}$ , we have  $f'(c) \leq 0$  for all  $c \leq 5$ , hence

$$f(c) \geq f(5) = \frac{1}{2} (\Delta + 5 + \sqrt{(\Delta + 1)^2 + 8(2n + 8\gamma - 7)}),$$

and Eq. (7) follows.

REMARK. In case that  $\Delta \leq 2 + \sqrt{2n + 8\gamma - 6}$ , we have that  $f'(c) \geq 0$  for all  $c \leq 5$ , so that the best bound is obtained when  $c \rightarrow -\infty$ . Since

$$\lim_{c \rightarrow -\infty} f(c) = 2\Delta,$$

we conclude that

$$\mu(G) \leq 2\Delta$$

in this case, which is a trivial and well known bound (a direct consequence of Lemma 2.4).

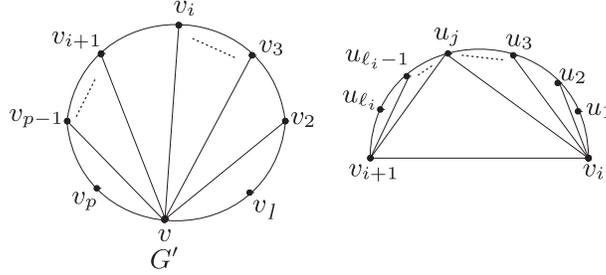


Figure 1. Graphs used in Theorem 3.9.

The next result is for the signless Laplacian spectral radius of an outerplanar graph using maximum degree and order.

**Theorem 3.9.** *Let  $G$  be a connected outerplanar graph of order  $n \geq 4$  with maximum degree  $\Delta \geq 3$ . Then*

$$(8) \quad \mu(G) \leq \frac{1}{2} ((\Delta + 5) + \sqrt{(\Delta + 5)^2 + 8(n - 2\Delta - 4)}).$$

**Proof.** By adding edges to  $G$ , one can get a maximal outerplanar graph  $G'$ , for which  $\mu(G) < \mu(G')$ . As  $G'$  contains a Hamiltonian cycle, consider a planar embedding of  $G'$  such that the boundary face is the Hamiltonian cycle. Note that any edge of  $G'$  is either on the boundary face or a chord, and no two chords intersect.

Let  $v \in V(G')$ . Suppose  $N_{G'}(v) = \{v_1, v_2, \dots, v_p\}$  (in a clockwise order, as depicted in Figure 1), so that  $d(v) = p \geq 2$ . Note that  $v_1, v_2, \dots, v_p$  are in the boundary face, and there are no vertices between  $v$  and  $v_1$  or between  $v_1$  and  $v_p$ .

Suppose there are  $\ell_i$  ( $1 \leq i \leq p-1$ ) vertices between  $v_i$  and  $v_{i+1}$  such that they are not adjacent to  $v$ . Note that

$$p + 1 + \sum_{i=1}^{p-1} \ell_i = n.$$

Suppose on the boundary face, the set of the  $\ell_i$  vertices between  $v_i$  and  $v_{i+1}$  are  $U = \{u_1, u_2, \dots, u_{\ell_i}\}$ , then the vertices in  $U$  are either adjacent to  $v_i, v_{i+1}$ , or adjacent to each other, and any two chords cannot intersect inside  $G'$ . Therefore there is at most one vertex in  $U$ , say  $u_j$ , that may be adjacent to both  $v_i$  and  $v_{i+1}$  (see Fig. 1). Hence, the number of edges between the vertices of  $U$  and  $\{v_i, v_{i+1}\}$  is at most  $\ell_i + 1$ . It follows that

$$\begin{aligned} d_v m_v &= \sum_{i=1}^p d(v_i) \leq p && \text{(the edges between } v \text{ and } v_i) \\ &+ 2(p-1) && \text{(the edges between } v_i \text{ and } v_{i+1}) \\ &+ \sum_{i=1}^{p-1} (\ell_i + 1) \\ &= n + 3p - 4. \end{aligned}$$

As in the proof of Theorem 3.8, we first consider a more general case. For a real number  $c$ ,

$$\begin{aligned} s_v(Q^2) - (\Delta + c)s_v(Q) &= s_v(D^2 + DA + AD + A^2) - (\Delta + c)s_v(Q) \\ &= 2d(v)^2 + 2d(v)m(v) - 2(\Delta + c)d(v) \\ &\leq 2p^2 + 2(n + 3p - 4) - 2(\Delta + c)p \\ &= 2p^2 - 2(\Delta + c - 3)p + 2(n - 4) =: f(p). \end{aligned}$$

Since  $p \in \{2, \dots, \Delta\}$ , we have that  $f(p) \leq f(2) = 2(n - 2\Delta - 2c + 6)$  provided that  $c \geq 5$  and

$$s_v(Q^2) - (\Delta + c)s_v(Q) - 2(n - 2\Delta - 2c + 6) \leq 0.$$

From Lemma 2.5, it follows that

$$\mu^2 - (\Delta + c)\mu - 2(n - 2\Delta - 2c + 6) \leq 0,$$

which implies

$$\mu(G) \leq \frac{1}{2}((\Delta + c) + \sqrt{(\Delta + c)^2 + 8(n - 2\Delta - 2c + 6)}) =: g(c).$$

It is immediate to see that  $g'(c) > 0$  for  $c \geq 5$  and, therefore,  $g(c) \geq g(5)$ . Hence for  $c = 5$ , we get

$$\mu(G) \leq \frac{1}{2}((\Delta + 5) + \sqrt{(\Delta + 5)^2 + 8(n - 2\Delta - 4)}).$$

The proof is completed

REMARK. On the other hand, if  $c \leq 5$ , then  $f(p) \leq f(\Delta) = 2(n-4-(c-3)\Delta)$ . As above, we similarly get

$$\mu(G) \leq \frac{1}{2}((\Delta + c) + \sqrt{(\Delta + c)^2 + 8(n-4-(c-3)\Delta)}) =: h(c).$$

If  $\Delta \leq \frac{3}{2} + \sqrt{n - \frac{7}{4}}$ , then  $h'(c) \geq 0$  and the best upper bound is obtained when  $c \rightarrow -\infty$ . Since

$$\lim_{c \rightarrow -\infty} h(c) = 2\Delta$$

we conclude that

$$\mu(G) \leq 2\Delta$$

in this case, which is a trivial and well known bound (a direct consequence of Lemma 2.4).

If  $\Delta \geq \frac{3}{2} + \sqrt{n - \frac{7}{4}}$ , then  $h'(c) \leq 0$  and  $h(c) \geq h(5)$  and

$$\mu(G) \leq \frac{1}{2}((\Delta + 5) + \sqrt{(\Delta + 5)^2 + 8(n-4-2\Delta)}),$$

but since  $h(5) = g(5)$ , we cannot obtain a better bound in the case  $c \leq 5$ .

#### 4. COROLLARIES AND COMPARISONS

We deduce here several corollaries of earlier results by Lemma 2.7, and then present comparisons between earlier results and Theorems 3.8 and 3.9.

**Corollary 4.10.** *Let  $G$  be a connected graph of order  $n \geq 3$ , with maximum degree  $\Delta$ . Suppose  $G$  can be embedded on a surface of Euler genus  $\gamma$ . Then*

$$(9) \quad \mu(G) \leq \begin{cases} \Delta + 2 + \sqrt{2n + 8\gamma - 6}, & \text{if } n \geq 7 + 2\gamma + 4\sqrt{1 + 3\gamma} \text{ or } n = 3 \text{ and } \gamma = 0; \\ \Delta + 1 + \sqrt{3n + 6\gamma - 8}, & \text{if } 3 \leq n \leq 7 + 2\gamma + 4\sqrt{1 + 3\gamma}. \end{cases}$$

**Proof.** In [11], it is obtained that for a connected graph  $G$  of order  $n \geq 3$  which can be embedded on a surface of Euler genus  $\gamma$ , it holds

$$\rho(G) \leq \begin{cases} 2 + \sqrt{2n + 8\gamma - 6}, & \text{if } n \geq 7 + 2\gamma + 4\sqrt{1 + 3\gamma} \text{ or } n = 3 \text{ and } \gamma = 0; \\ 1 + \sqrt{3n + 6\gamma - 8}, & \text{if } 3 \leq n \leq 7 + 2\gamma + 4\sqrt{1 + 3\gamma}. \end{cases}$$

The result follows from Lemma 2.7. □

$n$	$\Delta$	$\gamma$	conclusion
100	5	1	(1) = 24.90 > (9) = 21.21
100	50	1	(9) = 66.21 > (1) = 60.54
100	5	1	(2) = 104.10 > (9) = 21.21
100	97	1	(9) = 113.21 > (2) = 104.10

Table 1. Comparison between bounds (1), (2) and (9).

$n$	$\Delta$	$\gamma$	conclusion
1000	998	1	(7) = 1004.99 > (2) = 1004.01
100	49	1	(2) = 104.10 > (7) = 59.05

Table 2. Comparison between bounds (2) and (7) for  $\Delta \geq 2 + \sqrt{2n + 8\gamma - 6}$ .

Table 1 reveals that the bound (9) is incomparable with bounds (1) and (2). Here, (1) > (9) means the value given by the bound (1) is larger than the value given by the bound (9). Table 2 further reveals that the bounds (2) and (7) are incomparable. If  $\Delta \geq 2 + \sqrt{2n + 8\gamma - 6}$ , direct computation shows that (7) is less than or equal to (1), and if further  $n \geq 7 + 2\gamma + 4\sqrt{1 + 3\gamma}$ , then (7) is less than or equal to (9).

We further have the following corollaries for planar graphs.

**Corollary 4.11.** *Let  $G$  be a connected planar graph of order  $n \geq 3$ , with maximum degree  $\Delta$ . Then*

$$(10) \quad \mu(G) \leq \begin{cases} \Delta + 2 + \sqrt{2n - 6}, & \text{if } n \geq 9; \\ \Delta + 1 + \sqrt{3n - 8}, & \text{if } 3 \leq n \leq 9. \end{cases}$$

**Proof.** Set  $\gamma = 0$  in Corollary 4.10.

**Corollary 4.12.** *Let  $G$  be a connected planar graph with maximum degree  $\Delta$ . Then*

$$(11) \quad \mu(G) \leq \begin{cases} 2\Delta, & \text{if } \Delta \leq 5; \\ \Delta + \sqrt{12\Delta - 36}, & \text{if } 6 \leq \Delta \leq 36; \\ \Delta + \sqrt{8\Delta - 16} + 2\sqrt{3}, & \text{if } \Delta \geq 37. \end{cases}$$

**Proof.** In [10], it is obtained that for a connected planar graph  $G$ , the following best known bounds hold

$$\rho(G) \leq \begin{cases} \Delta, & \text{if } \Delta \leq 5; \\ \sqrt{12\Delta - 36}, & \text{if } 6 \leq \Delta \leq 36; \\ \sqrt{8\Delta - 16} + 2\sqrt{3}, & \text{if } \Delta \geq 37. \end{cases}$$

The result now follows from Lemma 2.7. □

Table 3 shows that the bounds (10) and (11) are incomparable for large  $\Delta$ .

$n$	$\Delta$	conclusion
100	40	(11) = 60.90 > (10) = 55.93
1000	40	(10) = 86.65 > (11) = 60.90

Table 3. Comparison between bounds (10) and (11).

A cycle  $C$  in a connected graph  $G$  is separating if  $G - V(C)$  is disconnected. Clearly, a 5-connected graph contains no separating cycle of length at most four.

**Corollary 4.13.** *Let  $G$  be a connected planar graph with maximum degree  $\Delta$ , without separating 4-cycle. Then*

$$\mu(G) \leq \Delta + 2\sqrt{\Delta - 9} + 2\sqrt{19} + 2\sqrt{21}.$$

**Proof.** In [10], it is obtained that for a connected planar graph  $G$  without separating 4-cycles,

$$\rho(G) \leq 2\sqrt{\Delta - 9} + 2\sqrt{19} + 2\sqrt{21}.$$

The result now follows from Lemma 2.7.

**Corollary 4.14.** *Let  $G$  be a connected outerplanar graph of order  $n$  with maximum degree  $\Delta$ . Then*

$$(12) \quad \mu(G) \leq \Delta + \frac{3}{2} + \sqrt{n - \frac{7}{4}}.$$

**Proof.** In [24], it is obtained that for a connected outerplanar graph  $G$ , it holds

$$\rho(G) \leq \frac{3}{2} + \sqrt{n - \frac{7}{4}}.$$

The result now follows from Lemma 2.7. □

$n$	$\Delta$	conclusion
100	10	(3) = 21.81 > (12) = 21.41
100	50	(12) = 61.41 > (3) = 56.40
100	3	(4) = 102 > (12) = 14.41
100	95	(12) = 106.41 > (4) = 102
32	6	(8) = 13.39 > (12) = 13
32	10	(12) = 17 > (8) = 16
15	10	(3) = 14.52 > (8) = 13.68
100	10	(8) = 21.93 > (3) = 21.81

Table 4. Comparison between bounds (3), (4), (8) and (12).

Table 4 reveals that the bound (12) is incomparable with the bounds (3), (4) and (8), and that the bounds (3) and (8) are also incomparable. Further, direct computation shows that (8)  $\leq$  (4) with equality if  $\Delta = n - 1$ .

**Corollary 4.15.** *Let  $G$  be a Halin graph of order  $n \geq 7$  with maximum degree  $\Delta$ . If  $G$  has  $t$  ( $\geq 1$ ) inner vertices, then*

$$(13) \quad \mu(G) \leq \Delta + 1 + \sqrt{n + 2 - 2t}.$$

**Proof.** In [24], it is obtained that for a Halin graph of order  $n \geq 7$ , if  $G$  has  $t$  ( $\geq 1$ ) inner vertices, then

$$\rho(G) \leq 1 + \sqrt{n + 2 - 2t}.$$

The result now follows from Lemma 2.7. □

$n$	$t$	$\Delta$	conclusion
100	4	20	(13) = 30.69 > (5) = 28.5
100	4	4	(5) = 17 > (13) = 14.69
100	4	95	(13) = 105.70 > (6) = 96.06
100	4	5	(6) = 96.06 > (13) = 15.70

Table 5. Comparison between bounds (5), (6) and (13).

Table 5 reveals that the bound (13) is incomparable with the bounds (5) and (6).

### 5. A SPECIAL CLASS OF OUTERPLANAR GRAPHS

In [12], it is conjectured that  $K_1 \vee P_{n-1}$  is the unique graph that has the maximal signless Laplacian spectral radius among all outerplanar graphs. Recently, this conjecture is proved to be true by YU et al. [25]. In this section, we focus our attention on a special class of outerplanar graphs containing no internal triangles, which are of independent interest, as stated at the end of this section, they are just the so called “2-paths”.

For  $n \geq 4$ , let  $\mathcal{H}_n$  be the set of all maximal outerplanar graphs on  $n$  vertices. Thus if  $G \in \mathcal{H}_n$ , then  $G$  has a planar representation as an  $n$ -gon triangulated by  $n - 3$  chords, and the boundary of this graph is the unique Hamiltonian cycle  $Z$  of  $G$ . Let  $G^*$  be the graph obtained from  $G$  by deleting all edges of  $Z$ . Then  $G^*$  has a unique nontrivial component  $G^{**}$  and at least two isolated vertices. A 3-cycle in  $G^{**}$  is called an internal triangle of  $G$ , in other words, an internal triangle is a triangle with no edge on the outer face. Note that the following statements are equivalent:

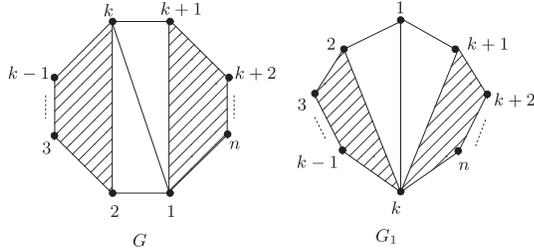
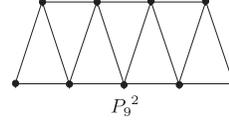
- (a)  $G$  has no internal triangle;
- (b)  $G^*$  has exactly two isolated vertices;
- (c)  $G^{**}$  is a tree.

We are interested in the set of maximal outerplanar graphs having no internal triangles.

**Lemma 5.16** ([16]). *Let  $u, v$  be two vertices of the connected graph  $G$ , suppose  $v_1, v_2, \dots, v_s \in N(v) \setminus N(u)$  for  $1 \leq s \leq d(v)$ , where  $v_1, v_2, \dots, v_s$  are different from  $u$ . Let  $X = (x_1, x_2, \dots, x_n)$  be the Perron vector of  $Q(G)$ , where  $x_i$  corresponds to  $v_i$  ( $1 \leq i \leq n$ ). Let  $H$  be the graph obtained from  $G$  by deleting the edges  $vv_i$  and adding the edges  $uv_i$  ( $1 \leq i \leq s$ ). If  $x_u \geq x_v$ , then  $\mu(G) < \mu(H)$ .*

Let  $P_n^2$  denote the graph obtained from the path  $P_n$  by adding new edges joining all pairs of vertices at distance 2 in  $P_n$ . The graph  $P_9^2$  is illustrated in Figure 3.

**Theorem 5.17.** *Let  $\mathcal{G}_n$  be the set of maximal outerplanar graphs having  $n$  vertices and no internal triangles. The graph  $K_1 \vee P_{n-1}$  is the unique graph in  $\mathcal{G}_n$  with maximum signless Laplacian spectral radius and the graph  $P_n^2$  is the unique graph in  $\mathcal{G}_n$  with minimum signless Laplacian spectral radius.*

Figure 2. The graphs  $G$  and  $G_1$ .Figure 3. The graph  $P_9^2$ .

**Proof.** If  $n = 4, 5$ , it is easy to find that  $K_1 \vee P_{n-1} \cong P_n^2$  is the unique graph in  $\mathcal{G}_n$ . Hence we may suppose  $n \geq 6$  in the sequel. Let  $G \in \mathcal{G}_n$  and suppose that  $G \neq K_1 \vee P_{n-1}$ , we will show that there exists a graph  $G_1 \in \mathcal{G}_n$  such that  $\mu(G) < \mu(G_1)$ .

Let the vertices of the Hamiltonian cycle in  $G$  be labeled by  $1, 2, \dots, n$  in the cyclic order. Since  $G^{**}$  is not a star, the labeling may be chosen so that some vertex  $k$  is adjacent to vertices  $1$  and  $2$ , while vertex  $k+1$  is adjacent to  $1$  ( $3 < k < n-1$ ). A plane representation of  $G$  is illustrated in Figure 2, where only the subgraph induced by the vertices  $1, 2, k, k+1$  is shown in full. Let  $e$  be the edge  $(1, k)$ . Then the graph  $G_1$  is obtained by twisting  $G$  along the edge  $e$ . Formally, let  $H$  be the subgraph induced by vertices  $1, 2, \dots, k$  and  $K$  be the subgraph induced by vertices  $1, k, k+1, \dots, n$ . We may regard  $G$  as a triangulated polygon constructed from  $H$  and  $K$  by coalescence of the edge  $e$ . The graph  $G_1$  is constructed from  $H$  and  $K$  by coalescence of the edge  $e$  after first reorienting one of  $H$  or  $K$ .

Let  $X = (x_1, x_2, \dots, x_n)$  be the Perron vector of  $Q(G)$ , where  $x_i$  corresponds to the vertex  $i$ . Without loss of generality, we may suppose that  $x_k \geq x_1$ . In  $G$ , let  $J$  be the set of neighbors of vertex  $1$  between  $k+2$  and  $n$  inclusive. Then  $G_1$  may be obtained from  $G$  by replacing the edge  $(1, j)$  with  $(k, j)$  for each  $j \in J$ . A plane representation of  $G_1$  is illustrated in Figure 2. From Lemma 5.16, we conclude that  $\mu(G) < \mu(G_1)$ .

To prove the second assertion of the theorem, let  $G_2$  be a graph in  $\mathcal{G}_n$  other than  $P_n^2$  with the smallest signless Laplacian spectral radius. Then  $G_2$  has a vertex  $v$  of degree at least 5. As above, the vertices of the Hamiltonian cycle in  $G_2$  are labeled in cyclic order, so we take  $v = 1$  without loss of generality. Then there exists  $h \in \{4, 5, \dots, n-2\}$  such that vertex  $1$  is adjacent to  $h, h-1, h+1$ , due to the fact that  $G_2$  is a maximal outerplanar graph. Let  $e$  be the edge  $(1, h)$  and let  $G$  be the graph obtained from  $G_2$  by twisting along  $e$ , so that  $G$  has the form shown in Figure 2. Note that  $G_2$  is obtained from  $G$  by twisting along  $e$ . The previous argument now shows that  $\mu(G) < \mu(G_2)$ . It follows that  $P_n^2$  is the unique graph with the smallest signless Laplacian spectral radius.  $\square$

REMARK. A vertex  $v \in G$  is said to be *simplicial* if  $N(v)$  induces a clique in  $G$ . A  $k$ -path graph can be inductively defined as follows [21]:

- Every complete graph with  $k+1$  vertices is a  $k$ -path graph.

- If  $G = (V, E)$  is a  $k$ -path graph,  $Q \subseteq V$  is a  $k$ -clique of  $G$  containing at least one simplicial vertex and  $v \notin V$ , then the graph  $G' = (V \cup \{v\}, E \cup \{\{v, w\} | w \in Q\})$  is also a  $k$ -path graph.
- Nothing else is a  $k$ -path graph.

As pointed out by one of the referees, the set of 2-path graphs and the set of maximal outerplanar graphs without internal triangles are identical. Thus the results in this section also can be restated as the maximum and minimum spectral radius of 2-path graphs. For more detailed discussion on  $k$ -path graphs, one may refer to [18, 21] and their references. Their eigenvalue problems would be also an interesting topic, see, for example, the recent related work on  $k$ -trees in [26].

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